

# Software Reliability Engineering Practice inEmbedded RTOS Development

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Aeronautics Computing Technique Research Institute, China Aug, 2, 2016



- • AVIC: Aviation Industry Corporation of **China**
- Founded in 2008;  $\bullet$
- Business: General Aviation and Defense, and Avionics Systems;
- 2016, Ranked 143th ( Fortune Top 500<br>Foterorises ) Enterprises)
- Over 140 subsidiaries, and more than 400Kemployees;
- **ACTRI**:**Aeronautics Computing Technique Research Institute**
- •A member of AVIC;









## ACTRI:

- •Located in Xi'an, Shaanxi, P.R. China
- Dedicated Airborne Computer, including: •
	- Airborne Computer For :
		- ← Avionics Equipment<br>Coderal Architect
			- <del>V</del> Federal Architecture
			- $\checkmark$  IMA (ICP etc)
		- **V** Flight Control
		- √ Utility<br>CIC/SeC
	- ✓ ASIC/SoC Design;
	- **RTOS;**
	- **✓** Application SW
- Founded in 1958, •
- •1500 employees,
- Income about 3B RMB/Year; •





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- **Definition and Basis** 1.
- **The Software Engineerring of AcoreOS RTOS**  $2.$

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**ACoreOS RTOS Software Reliability**  $3.$ 

**Engineering Practice** 

**Discussion** 4.



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# 1. Definition and Basis





- • RTOS: Managing the hardware resources, Supply service tohosting applications with very **precise timing and a high degree of reliability**
- • RTOS:**"glue" between the middleware**,**application, hardware resources, system services, and input/output (I/O) devices**[2].
- **Widely used** in embedded system industry as Aerospace, Com/Mil •Aircraft, Automobile, Nuclear, Medical Industries etc.



© Copyright 2012 ACTRI.[1] Technique White Paper-What is a Real-Time Operating System(RTOS) - National Instruments Corporation 2013.11 [2] Study of Commercial Off-The-Shelf (COTS) Real-Time Operating Systems (RTOS) in Aviation Applications – FAA 2002.12

### **What is Embedded RTOS?**



## Reliability/Safety

*Embedded real-time operating systems and related software tools help secure aerospace and defense platforms and mission-critical data from growing threats.*

 *Courtney E. Howard*



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### **REL/SAFETY of RTOS**

- • RTOS **failure** maybe lead to **system crash** directly;
- • **RTOS is key(like determinism) to system safety in application software levels[1].**
- • Plays **important** role in IMA system **integration**[2].
- • Needs to be developed and verified at the **DAL** of safety associated with the system applications<sup>[1]</sup>.





f Commercial Off-The-Shelf (COTS) Real-Time Operating Systems (RTOS Aeronautics Computing Technique Research Institute (ACTRI)[1] Study of Commercial Off-The-Shelf (COTS) Real-Time Operating Systems (RTOS) in Aviation Applications – FAA 2002.12

[2] DO-297 IMA System Development Guidance – FAA

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### **Software Reliability: Difficulty things.**

- • Software Reliability is often very closely tied to **safety critical systems.** And these systems are likely defined as following:
	- Commercial aircraft (<**10-9**) flight control A/C safety
	- Military Aircraft (<**10-7**)- flight control A/C safety
	- Medical (<**10-7**) Operating control Patient safety
	- Automotive (<**10-7**) passenger safety
	- $\checkmark$  Military fire control systems (<10<sup>-?</sup>) Mission Safety
- • Complex software reliability is hard to validate, because **the complexity of software.**
	- Human Development Process: Software Engineering "Try to control"
	- $\checkmark$  Functions : complexity
	- Execution Processes : "Sequence Compose"



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How to

validate?

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### **④中航工业计算所 Software Reliability Engineering**(**SRE**)

- Problems to be answered in Software Reliability Engineering
	- 1、How to **development** reliability software?
	- 2、How the **predict or estimate** software's reliability?
- •**1**、**SRE = Require + Design+ Construction + Verification + Analysis + Management. And** SRE is a **series of** above **activities(or combined) performed to meet** the **S**oftware **R**eliability(**SR**) **Target.**
- •**2**、**Assess of Reliability = Application of statistical techniques to data** collected during system development and operation, Using one of **SR Model**to specify, predict, estimate or assess the reliability.
- • The goal of SRE:
	- Exploring ways of **implementing** "reliability" in software products.
	- Testing such models and techniques for adequacy, soundness and completeness.
	- $\checkmark$  Developing software reliability models and techniques to improve software reliability For SR Growing.



**Software Reliability Engineering**: Management





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# 2. The Software Engineerring of **AcoreOS RTOS**







### **ACoreOS[1] RTOS Family**



[1] ACoreOS is the abbreviation of Avionics Core Operation System. Aeronautics Computing Technique Research Institute (ACTRI)

## **System and Software**

- • ACoreOS is **designed for AirborneComputer**, Aviation industry. So Airworthiness Certification(**Conform**before **to DO-178**) is necessary installed airborne.
- •DO-178: an acceptable means for showing **compliance with**with the **applicable airworthiness** regulations for the software aspects of airbornesystems and equipment certification**[1].**
- • The information flow between systemand software life cycle process**[2]**
- • **For intending to use critical equipment , ACoreOS is defined asDO-178 level A.**



[1] AC-20 115C Airborne Software Assurance – FAA 2013.7

**C Software C** <sub>[1]</sub> AC-20 TTSC Aliborile Software Assurance – I AA 2013.*I*<br>[2] DO-178C Software Considerations in Airborne Systems and Equipment Certification – FAA 2011.11

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## **Level A Software Life Cycle**



- 1. Software Life Cycle is organized as sequenced **processes.**
	- •**Software Planning Process**
	- Software Development Pro<mark>c</mark>ess •
		- $\checkmark$ Requirement
		- $\checkmark$ Design
		- $\checkmark$ **Coding**
		- $\checkmark$ Integration
	- • **Software Integral Process**
		- $\checkmark$ Verification
		- $\checkmark$ Configuration-
		- $\checkmark$ Software Quality Assurance
		- $\checkmark$ Certification Liaison

Evidence $\colon$  Every activities Activities: defined for each processObjectives: defined for activities



## **1. Software Planning**

The software planning process for ACoreOS **following DO-178:**

- 1. Determine the SW Life Cycle
	- •Define **Waterfall model** as life cycle model
	- •Define **relationships** between the processes
	- $\bullet$ Define their **sequencing**
	- Define **feedback** mechanisms •
	- •Define **transition criteria** from one process to anothers.
- 2. Define SW Standards
	- $\bullet$ SW Requirement Standard
	- $\bullet$ SW Design Standard
	- •SW Coding Standard
- 3. Produce the SW Plans
	- $\bullet$ SW Development Plan
	- •SW Verification Plan
	- •SW Configuration Plan
	- $\bullet$ SW Quality Assurance Plan
	- $\bullet$ Plan for SW Aspects of Compliance<sup>[1]</sup>



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**Standards** 



## **1. Software Planning**



The software planning process for RTOS following DO-178(cont.)

- 4. Select the SW **Life Cycle environment**(**Tools**)
	- • Software **development** environment
		- ✓ Self developed and qualified IDE environment
	- • **Project** Management environment ,
		- DOORs, Reqtify, Synergy, Change
	- • **Language** and compiler consideration
		- $\checkmark$  C,C++,GNU 2.96, GNU 3.44
	- • Software **test** environment
		- **✓** LDRA Testbed
	- **Target hardware** for RTOS Integration: Intel X86, PowerPC 6XX, PowerPC 7XX, PowerPC 74XX, PowerPC864X(Difficulty to **Qualify the HW platform for RTOS**)
- 5. Address the additional considerations
	- •**Qualification** of IDE environment(Including **Compiler GCC3.4.4**)
- 6. Plans Are Controlled dynamically
	- •**Coding standard** changed from ACoreOS1 to ACoreOS2 period of the project;
	- •Revision of the software plans were coordinated within the team leader.





**2. Software Development Process**





### **2.1 Software Requirement Process**



### **The Input and output of Software Requirement Process**







## **2.1 Software Requirement Process**



### **Requirement Analysis and SRS**





### **2.2 Software Design Process**



### **The Input and output of Software Design Process**



- • Design(Or LLR) and software architecture conform to the software design standard and be traceable and consistent;
- •LLR are traced to HLR via Reqtify and DOORs tools;

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### **2.3 Software Coding Process**



### **The Input and output of Software Coding Process**





- • The Source Code is **traceable** to the Software Design Description via DOORs or Reqtify.
- •• If inadequate or incorrect inputs is detected during the software coding process, it should **feedback and provided** to the software requirements process, software design processor software planning process as for**clarification or correction**;

## **2.3 Software Coding Process**

- •The Source Code implement the design (LLR) and **conform to the software architecture**;
- • The Source Code **conform to the CODEStandards**;
	-





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- •**ACoreOS1 : About <sup>11</sup> SLOC/per requirement;**
- •**ACoreOS2 : About <sup>25</sup> SLOC/per requirement;**



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## **2.4 Software Integration Process**



### **The Input and output of Software Integration Process**





## **2.4 Software Integration Process**



- 1. Software Integration Process include:
	- $\bullet$  **SW/SW integration**
		- $\checkmark$  Link/Generate executable code using qualified Compiler
	- **SW/HW integration** using **Qualified HW platform**
		- $\checkmark$  Load the executable code in the target computer

### 2. Deactive Code and Dead Code are processed during integration

- • **The deactivated code** is disabled for the special environment where it is not intended to use. The code to support the running of one type of equipment could be deactive for another kind of equipment. The should demonstrate the disable condition(like using On-Ground-Switch OR C919/C929-Switch ) for CAAC.
- • **Dead codes** is unrunning for any environment and should removed out totally.











40 Verification out of total 66 Targets (DO-178B) **1-3 August 2016** 

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### **3.1 Software testing**

- •Doing according to Level A software;
- •Requirement-Based Test.
- •**Focus Robustness Test**

### **11158 test cases** were generated for ACoreOS1,

- •Normal condition tests: 4686
- **Robustness tests:5913 (53%)** •
- **18156 test cases** were generated for ACoreOS2,
- •Normal condition tests: 12586
- **Robustness tests:5570 (31%)** •
- Verification Coverage after analysys:
	- **HLR/LLR requirement coverage 100%;**
	- Statement coverage 100%
	- Decision coverage 100%
	- MC/DC coverage 100%
	- Object Coverage 100%

### Before analysys about 85% to 90%

A LEVEL DO-178B (To Be Certificated)









### **Example of Test Coverage Analysis Reports**



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# 3. ACoreOS RTOS Software Reliability **Engineering Practice**





## Software Reliability Procedure

- Software Reliability assess procedure for ACoreOS RTOS software follows that defined in **IEEE 1633-2008(IEEE Recommended Practice on Software Reliability)**.
- A 11-step procedure for assessing and predicting ACoreOS RTOS Software Reliability is executed.
	- 1. Identify application
	- 2. Specify the requirement
	- 3. Allocate the requirement
	- 4. Define errors(bugs), faults, and failures
	- 5. Characterize the operational environment(Time/Input)
	- 6. Select tests
	- **7. Select models**
	- **8. Collect data**
	- **9. Estimate parameters**
	- **10.Validate the model**

### **11.Perform assessment and prediction analysis**



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- • **Exponential Non-Homogeneous Poisson Process(NHPP) models**
	- •**Schneidewind model**
	- •**Shooman model**
	- •**Musa basic model**
	- •**Jelinski and Moranda model**
	- •**Generalized exponential model**
- • **Non-exponential NHPP models**
	- •**Duane model**
	- • **Brooks and Motley binomial and Poisson model**
	- •**Yamada s-shaped model**
	- • **Musa and Okumoto logarithmic Poisson model**
- • **Bayesian models.**
	- •**Littlewood Model**

Candidate Software Reliability Model

- •Over 200 models
- • Trying to understand the characteristics ofsoftware failure(how and why)
- •Trying to qualify
- • No single model can be used in all situations.
- $\bullet$  No model is complete or even representative.



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Criteria for conducting an evaluation of Reliability Models in the RTOS:

- • **Future predictive accuracy**: Accuracy of the model in making predictions beyond the time span of the collected data.
- • Generality: Ability of a model to make accurate predictions in a variety of operational settings (e.g, accurate in different environment).
- • **Insensitivity to noise:** The ability of the model to produce accurate results in spite of errors in input data and parameter estimates.

## Schneidewind Model

Schneidewind model is selected for follow reason:

- •It is the preference model **recommended in IEEE 1633-2008**;
- • Comparing to other model, The basic philosophy of Schneidewind model is more **suitable for RTOS software[1]**.
- $\bullet$  Consider **Defined evaluation criteria above, THIS MODEL is good.**



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**1.Requirement oriented** software reliability analysis, Following data are retrieved and collected.

**2.Testing oriented** software reliability parameters analysis.

**3.Trustful analysis** of result based testing.







**Requirement oriented software reliability analysis**

**Requirement oriented software reliability analysisDuring requirement analysis process, After data catalog/process(smoothly/average/de-odd-point etc.) , We get following big-data:**•**Number of change** of each REQ item from birth to documented. **•Change times against to time scale: Number of Changes in ONE** time. The Change can be REQ ADD/DEL/CHANGE; •Number of REQ bugs effect the area against number of REQ items.•Number of REQ changed, Focus trend of **number of bugs Accumulated**.

•Number bugs of alive, Against time from finding to change correctly.







## **Requirement oriented software reliability analysis**





## **Requirement oriented software reliability analysis**





# **Testing oriented software reliability analysis**

## **Schneidewind model**

**Schneidewind model structure is defined as below:**

$$
\log L = X_t \left[ \log X_t - 1 - \log \left( 1 - e^{-\beta t} \right) \right]
$$
  
+ 
$$
X_{s-1} \left[ \log \left( 1 - e^{-\beta (s-1)} \right) \right]
$$
  
+ 
$$
X_{s,t} \left[ \log \left( 1 - e^{-\beta} \right) \right] - \beta \sum_{k=0}^{t-s} (s + k - 1)
$$

- Failure rate at the beginning of interval  $S$  $\alpha$
- Negative of derivative of failure rate divided by failure rate (i.e., relative failure rate)  $\beta$
- Critical value of remaining failures; used in computing relative criticality metric (RCM)  $r(t)$  $\overline{\phantom{0}}$  $r_{c}$
- Starting interval for using observed failure data in parameter estimation  $\overline{\phantom{0}}$ -S
- Cumulative time in the range  $[1, t]$ ; last interval of observed failure data; current interval
- Prediction time
- Mission duration (end time-start time); used in computing RCM  $T_F(t)$  $t_{m}$

The observed quantities are as follows:

### $-\lambda(t) = \alpha \exp(-\beta t)$ .

- rune since interval *i* to observe number of failures  $F_{ij}$  during interval *j*; used in computing  $MSE_{\tau}$
- $\hspace{0.1cm} -\hspace{0.1cm} X_k$  Number of observed failures in interval k
- Observed failure count in the range  $[1, i]$  $X_i$
- $\hspace{0.1cm} -\hspace{0.1cm} X_{s-1}$  Observed failure count in the range  $[1, s-1]$
- $\hspace{0.1cm} -\hspace{0.1cm} X_{s,l}$  Observed failure count in the range  $[i, s-1]$
- $\hspace{0.1cm} -\hspace{0.1cm} X_{s,i} \hspace{0.1cm}$  Observed failure count in the range [s, i]
- $\hspace{0.1cm} -\hspace{0.1cm} X_{s,t}$  Observed failure count in the range [s, t]
- $\hspace{0.1cm} -\hspace{0.1cm} X_{s, t1}$  Observed failure count in the range [s, t<sub>1</sub>]
- $\hspace{0.1cm} -\hspace{0.1cm} X_t$  Observed failure count in the range [1, t]
- $V = \Omega_{\text{reduced}}$  follows Aeronaut  $A_{t1}$  Observed ratitute count in the range





 $(t_{t})$ 

# **Testing oriented software reliability analysis**

## **Schneidewind model**

- Failure rate at the beginning of interval  $S$ 
	- Negative of derivative of failure rate divided by failure rate (i.e., relative failure rate)
- **Sc**<br>de Using Schneidewind model , Suppose:
	- $\bullet$  Number of failure limited, this is means orthogonal time of
- failure occur is independence and once a time during limited  $\log$ time interval
	- Formula of Failure rate:
	- Failure is independence during one limited time;
	- Change correct rate is proportional to bugs alive;
	- Time interval is constant.





# **Testing oriented software reliability analysis**

## Using Schneidewind model, Suppose:

- $\bullet$  Number of failure limited, this is means orthogonal time of failure occur is independence and once a time during limited time interval
- Formula of Failure rate:
- Failure is independence during one limited time;
- Change correct rate is proportional to bugs alive;
- Time interval is constant.





## ◎中航工 ACoreOS 天麻

## **Testing oriented software reliability analysisIn software testing stage, following data was retrieved and recorded:**

- •The pre-processing of data.
- •**The estimating of the model parameters.**
- •The analysis of the parameters.
- •Reliability and MTBF **prediction.**
- •**Bug that linked to REQ**
- **The repairing span of bug**•
- **The affected area of bugs**•
- **Bugs related number of test cases**•
- **The revision of code due to bugs**•
- **The time when bugs were reported**•
- •**The type of bugs**
- **bugs The severity of bugs**•

Bugs found during each test phase through **test LOG**. Data include number of failure TCs、severity of failure、 number of bugs、**Time** of failure occur、Version, time of repairing the bug and ……

 $\log L = X_i \left[ \log X_i - 1 - \log (1 - e^{-\beta t}) \right]$  $+X_{s-1} \left[ \log \left( 1 - e^{-\beta (s-1)} \right) \right]$  $+X_{s,t}\left[\log(1-e^{-\beta})\right]-\beta\sum_{s=0}^{t-s}(s+k-1)X_{s}$ 

• Using MLE, Assess"α" "β" parameters of the model 、iteratively and using WLS/MSE methods to speed up the convergence.  $\blacklozenge\!\!{} \!\!{}^{\mathop{\text{\rm {}S}}\nolimits}$  Determine the time *i*nterval "s" parameter. More important is to •

decrease the **effect of study curve** at beginning using mathematical smoothing/average/De-odd etc.



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$$
R(T_i) = \exp(-\frac{\alpha}{\beta} [\exp(-\beta(T_i - s + 1)) - \exp(-\beta(T_i - s + 2))])
$$
  
Reliability Assessment Formula





**Trustful analysis result of Reliability based testing**

At the review and analysis of test cases, test procedures and test result:

- $\bullet$ **Test cases exist for each requirement**
- • **The criteria of normal and robustness test are satisfied for eachrequirement;**
- • **The objectives for structure coverage of source code is achieved:**
	- **Requirement Coverage 100%** !
	- **Statement coverage <sup>100</sup> % !**
	- **Decision coverage 100% !**
	- $\checkmark$ **MC/DC coverage 100% !**

**Test is enough from every points of view**。**Test procedure DATA is enough for Reliability Assess**。**Reliability Trustful**

- $\bullet$ The distribution of test cases
- The coverage of normal and robustness condition $\bullet$
- $\bullet$ Testing intensity sufficiency



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# 4. Discussion





- In developing ACoreOS RTOS, ACTRI sum up the answers to problems posed ahead at the kicking off:
	- √ How to development reliable software?
		- In A/C industry, Strictly follow the processes and objectives quantity defined in **DO-178 level A software**.
	- How the **predict** or estimate software's reliability? Select **Schneidewind reliability model** to predict and estimate reliability in software requirement and testing stage.



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**QR:**



**Some Questions to be Research :1**、**Is it possible to verify the RTOS 100% using several "100%s" coverage ??2**、**How to Develop Test Cases according to SRS and meet the 100% coverage ? Is it just the quality of SRS ?**

**3**、**Test efficiency and economy ???**

**4**、**Is there is more suitable model?**







# **Thanks for Your Attention**



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